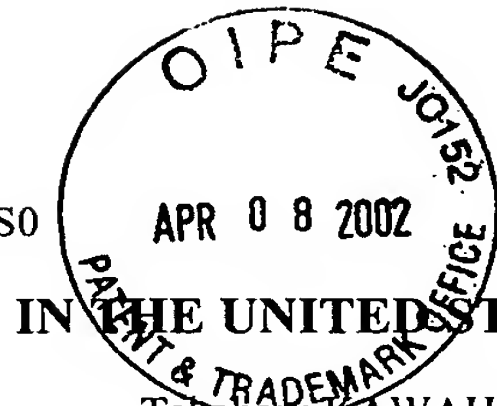


Docket No. 217954US0



5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Takaharu KAWAHARA, et al.

SERIAL NO: 10/035,123

GAU:

FILED: January 4, 2002

EXAMINER:

FOR: METHOD FOR PRODUCING ETHYLENE-VINYL ALCOHOL COPOLYMER RESIN

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references and European Search Report and two International Search Reports listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

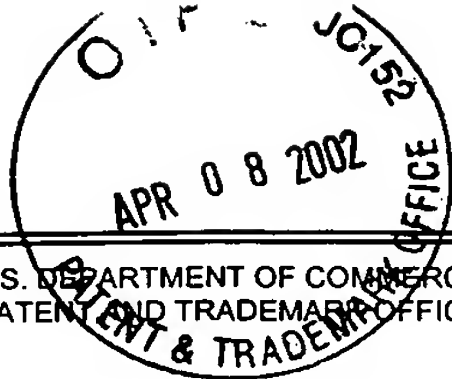
Norman F. Oblon
Registration No. 24,618

James J. Kelly, Ph.D.
Registration No. 41,504



22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 10/98)

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

217954US0

SERIAL NO.

10/035,123

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Takaharu et al.

FILING DATE

January 4, 2002

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO	
	AAO	EP 0 408 503 A2	7/5/90	EUROPE	x	
	AAP	EP 0 408 503 A3	7/5/99	EUROPE	x	
	AAQ	WO 99/12714	3/18/99	WIPO (equivalent of EP 0 937 557 A1)		x
	AAR	EP 0 937 557 A1	10/16/77	EUROPE (equivalent of WO 99/12714)	x	
	AAS	JP 49-20615 B	5/25/74	JAPAN (Certified Partial Translation)		x
	AAT	JP 55-19242 B	5/24/80	JAPAN (Certified Partial Translation)		x
	AAU	WO 97/38025	10/16/97	WIPO (corresponds to EPO 089 817)	x	
	AAV					

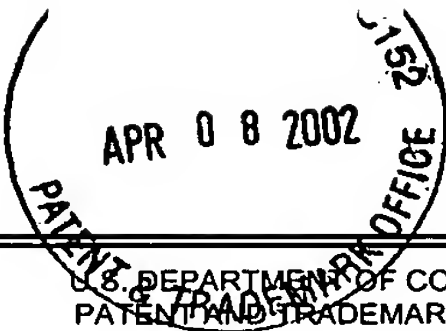
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 217954US0		SERIAL NO. 10/035,123	
				APPLICANT Takaharu et al.			
				FILING DATE January 4, 2002		GROUP	
LIST OF REFERENCES CITED BY APPLICANT							
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,184,288	2/6/01	Ninomiya et al.			
	AB	3,847,845	11/12/74	Rentaro TADA, et al.			
	AC	5,118,743	6/2/92	Yonezu et al. (Equivalent to JP 64-66262			
	AD	5,146,822	9/15/92	Noda et al. (equivalent to JP 3-61507)			
	AE	5,322,866	6/21/94	Mayer et al.			
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	JP 64-66262	9/7/87	JAPAN (equivalent to US 5,118,743)			x
	AP	JP 55012108 A	1/28/80	JAPAN (with English Abstract)			x
	AQ	JP 57034148 A	2/24/82	JAPAN (with English Abstract)			x
	AR	JP 3-61507	3/18/91	JAPAN (equivalent to US 5,146,822)			x
	AS	EP 0 892 006 A2	7/16/98	EUROPE	x		
	AT	JP 11058500 A	3/2/99	JAPAN (with English Abstract)			x
	AU	JP 11058501 A	3/2/99	JAPAN (with English Abstract)			x
	AV	WO99/05213	4/2/99	WIPO (equivalent to EP 0 930 339 A1)	x		
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ						
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							